

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
ROSE-MARIE AND JACK R. ANDERSON FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
16475 DALLAS PARKWAY 735

City or town, state, and ZIP code
ADDISON, TX 75001

A Employer identification number
75-2542403

B Telephone number
(972) 248-7350

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 40,938,122.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| 1 | Contributions, gifts, grants, etc., received | 17,698. | | N/A | |
| 2 | Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| 3 | Interest on savings and temporary cash investments | 41,205. | 41,205. | | STATEMENT 1 |
| 4 | Dividends and interest from securities | 771,504. | 771,504. | | STATEMENT 2 |
| 5a | Gross rents | 260. | 260. | | STATEMENT 3 |
| b | Net rental income or (loss) | 260. | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 820,129. | | | |
| b | Gross sales price for all assets on line 6a | 13,813,785. | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 820,129. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) | | | | |
| 11 | Other income | 21,535. | 21,535. | | STATEMENT 4 |
| 12 | Total. Add lines 1 through 11 | 1,672,331. | 1,654,633. | | |
| 13 | Compensation of officers, directors, trustees, etc | 63,000. | 15,750. | | 47,250. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees | | | | |
| b | Accounting fees | | | | |
| c | Other professional fees STMT 5 | 41,776. | 17,026. | | 24,750. |
| 17 | Interest | 200. | 200. | | 0. |
| 18 | Taxes STMT 6 | 45,192. | 192. | | 0. |
| 19 | Depreciation and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 527. | 527. | | 0. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses STMT 7 | 48,154. | 47,866. | | 288. |
| 24 | Total operating and administrative expenses Add lines 13 through 23 | 198,849. | 81,561. | | 72,288. |
| 25 | Contributions, gifts, grants paid | 2,264,686. | | | 2,264,686. |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | 2,463,535. | 81,561. | | 2,336,974. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | Excess of revenue over expenses and disbursements | <791,204.> | | | |
| b | Net investment income (if negative, enter -0-) | | 1,573,072. | | |
| c | Adjusted net income (if negative, enter -0-) | | | N/A | |

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| Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> | | Beginning of year | End of year | |
|--|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 3,770,193. | 2,994,578. | 3,013,197. |
| | 3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations STMT 8 | 12,142,349. | 11,940,531. | 11,793,945. |
| | b Investments - corporate stock STMT 9 | 27,130,987. | 27,215,776. | 24,332,035. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 10 | 83,012. | 8,763. | 8,763. |
| Liabilities | 14 Land, buildings, and equipment: basis ▶ 24,833. Less: accumulated depreciation STMT 11 ▶ 24,833. | | | |
| | 15 Other assets (describe ▶ STATEMENT 12) | 1,592,818. | 1,768,507. | 1,790,182. |
| | 16 Total assets (to be completed by all filers) | 44,719,359. | 43,928,155. | 40,938,122. |
| | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31 | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 44,719,359. | 43,928,155. | |
| | 30 Total net assets or fund balances | 44,719,359. | 43,928,155. | |
| | 31 Total liabilities and net assets/fund balances | 44,719,359. | 43,928,155. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 44,719,359. |
| 2 Enter amount from Part I, line 27a | 2 | <791,204.> |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 43,928,155. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 43,928,155. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | | | | |
| b SEE ATTACHED STATEMENTS | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e 13,813,785. | | 12,993,656. | 820,129. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | 820,129. |

| | | | |
|--|--|----------|-----------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 820,129. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2008 | 2,468,588. | 46,303,783. | .053313 |
| 2007 | 2,394,510. | 52,267,081. | .045813 |
| 2006 | 2,145,685. | 49,032,798. | .043760 |
| 2005 | 2,042,556. | 45,094,653. | .045295 |
| 2004 | 1,725,051. | 40,263,433. | .042844 |

| | | |
|--|----------|--------------------|
| 2 Total of line 1, column (d) | 2 | .231025 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .046205 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 38,292,413. |
| 5 Multiply line 4 by line 3 | 5 | 1,769,301. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 15,731. |
| 7 Add lines 5 and 6 | 7 | 1,785,032. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | 8 | 2,336,974. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 15,731. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 15,731. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 15,731. |
| 6 Credits/Payments: | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a | 64,939. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 64,939. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 49,208. | |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 49,208. Refunded <input type="checkbox"/> | 11 | 0. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | 5 | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV. | 7 | X |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TX | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | X |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | X |

N/A

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FOUNDATION**

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|----|---|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | 13 | X | |
| 14 | The books are in care of ► <u>NEIL R. ANDERSON</u> Telephone no. ► <u>972-248-7350</u> Located at ► <u>16475 DALLAS PARKWAY, SUITE 735, ADDISON, TX</u> ZIP+4 ► <u>75001</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | | N/A |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here | | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____ | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | N/A | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) | N/A | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 13 | | 63,000. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

| | | | |
|---|---|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 35,637,512. |
| b | Average of monthly cash balances | 1b | 3,217,343. |
| c | Fair market value of all other assets | 1c | 20,691. |
| d | Total (add lines 1a, b, and c) | 1d | 38,875,546. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 38,875,546. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 583,133. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 38,292,413. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,914,621. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|--|----|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,914,621. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 15,731. |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 15,731. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,898,890. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,898,890. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,898,890. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 2,336,974. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,336,974. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 15,731. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 2,321,243. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,898,890. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 2,233,697. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 2,336,974. | | | | |
| a Applied to 2008, but not more than line 2a | | | 2,233,697. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 103,277. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 1,795,613. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

| | | |
|---------|--|---|
| Part XV | | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.) |
|---------|--|---|

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

| Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---------------------------|---------------|--------------------------------------|---------------|---|
| (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | 14 | 41,205. | |
| | | 14 | 771,504. | |
| | | | | |
| | | 16 | 260. | |
| | | | | |
| | | 14 | 21,535. | |
| | | 18 | 820,129. | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | 0. | | 1,654,633. | 0. |
| | | 13 | | 1,654,633. |

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

signature

Firm's name (or yours if self-employed),
address, and ZIP code

Ira Newlow

WEAVER AND TIDWELL, L.L.P.
12221 MERIT DRIVE, SUITE 1400
DALLAS, TEXAS 75251

Date

11/12/10

☐ Check if self-employee

Preparer's identifying number

EIN ►

Phone no. (972) 490-1970

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

ROSE-MARIE AND JACK R. ANDERSON
FOUNDATION

Employer identification number

75-2542403

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization
**ROSE-MARIE AND JACK R. ANDERSON
 FOUNDATION**

Employer identification number

75-2542403

Part I Contributors (see instructions)

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|------------|--|--------------------------------|---|
| 1 | BRIAN CHILDS TRUST 16475 DALLAS PARKWAY, # 735 ADDISON, TX 75001 | \$ 17,698. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.) |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | FROM K-1 BURTON PARTNERSHIP STCG | P | VARIOUS | VARIOUS |
| b | FROM K-1 BURTON PARTNERSHIP LTCL | P | VARIOUS | VARIOUS |
| c | FROM K-1 BURTON PARTNERSHIP 1231 LOSS | P | VARIOUS | VARIOUS |
| d | FROM K-1 CAPITAL PARTNERS 1231 LOSS | P | VARIOUS | VARIOUS |
| e | FROM K-1 NGN BIOMED OPPORTUNITY I STCL | P | VARIOUS | VARIOUS |
| f | FROM K-1 NGN BIOMED OPPORTUNITY I LTCL | P | VARIOUS | VARIOUS |
| g | SPDR GOLD TRUST - 600 UNITS | P | 07/11/08 | VARIOUS |
| h | SPDR GOLD TRUST - 2,800 UNITS | P | VARIOUS | VARIOUS |
| i | SPDR GOLD TRUST - 200 UNITS | P | 07/11/08 | VARIOUS |
| j | CALL UNH JAN 0050 - 300 SHS | P | 01/20/09 | 05/03/07 |
| k | CALL UNH JAN 0045 - 500 SHS | P | 01/20/09 | 07/02/07 |
| l | CALL UNH JAN 0040 - 500 SHS | P | 01/20/09 | 07/02/07 |
| m | CD FIRST BANK OF PR | P | 03/03/08 | 09/14/09 |
| n | CD PRIVATE B&T CO | P | 03/04/08 | 03/12/09 |
| o | CD IMPERIAL CAPITAL BK | P | 03/04/08 | 12/22/09 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 86. | | | 86. |
| b | | 4,962. | <4,962.> |
| c | | 9. | <9.> |
| d | | 50. | <50.> |
| e | | 499. | <499.> |
| f | | 23,212. | <23,212.> |
| g 17. | | 18. | <1.> |
| h 86. | | 60. | 26. |
| i 6. | | 6. | 0. |
| j 334,190. | | | 334,190. |
| k 655,079. | | | 655,079. |
| l 826,151. | | | 826,151. |
| m 98,000. | | 98,000. | 0. |
| n 96,000. | | 96,000. | 0. |
| o 98,000. | | 98,000. | 0. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 86. |
| b | | | <4,962.> |
| c | | | <9.> |
| d | | | <50.> |
| e | | | <499.> |
| f | | | <23,212.> |
| g | | | <1.> |
| h | | | 26. |
| i | | | 0. |
| j | | | 334,190. |
| k | | | 655,079. |
| l | | | 826,151. |
| m | | | 0. |
| n | | | 0. |
| o | | | 0. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|------------------------------|--|--------------------------------------|----------------------------------|
| 1a | US TSY STRIPS 0% AUG 15 | P | 02/22/08 | 08/17/09 |
| b | US TSY STRIPS PRIN | P | 02/22/08 | 08/17/09 |
| c | US TSY 4.875% AUG 15 2009 | P | 02/22/08 | 08/17/09 |
| d | CD FIFTH THIRD BK | P | 09/02/08 | 09/10/09 |
| e | CD BANCO POP PUERTO RICO | P | 09/02/08 | 09/10/09 |
| f | CD NATIONAL CITY BANK | P | 09/02/08 | 09/10/09 |
| g | CD CAPMARK BANK | P | 09/02/08 | 09/10/09 |
| h | 100,000 SH ML&CO ARN S&P 100 | P | 11/01/07 | 01/21/09 |
| i | 100,000 SH ML&CO ARN DJ1A | P | 11/28/07 | 02/05/09 |
| j | GNMA 65BE 2038-02 6% ROP | P | VARIOUS | VARIOUS |
| k | GNMA 76ED 2038-01 6% ROP | P | VARIOUS | VARIOUS |
| l | GNMA 55AB 2037-09 6% ROP | P | VARIOUS | VARIOUS |
| m | GNMA 44AD 2039-04 4.5% ROP | P | VARIOUS | VARIOUS |
| n | GNMA 44AH 2038-07 5% ROP | P | VARIOUS | VARIOUS |
| o | GNMA 76ED 2038-01 6% | P | 09/26/08 | 06/22/09 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,000,000. | | 1,000,000. | 0. |
| b 1,000,000. | | 1,000,000. | 0. |
| c 1,000,000. | | 1,000,000. | 0. |
| d 96,000. | | 96,000. | 0. |
| e 96,000. | | 96,000. | 0. |
| f 96,000. | | 96,000. | 0. |
| g 96,000. | | 96,000. | 0. |
| h 580,960. | | 1,000,000. | <419,040.> |
| i 611,566. | | 1,000,000. | <388,434.> |
| j 921,670. | | 921,670. | 0. |
| k 756,613. | | 756,613. | 0. |
| l 4,192,361. | | 4,192,361. | 0. |
| m 30,678. | | 30,678. | 0. |
| n 3,197. | | 3,197. | 0. |
| o 91,198. | | 101,329. | <10,131.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 0. |
| b | | | 0. |
| c | | | 0. |
| d | | | 0. |
| e | | | 0. |
| f | | | 0. |
| g | | | 0. |
| h | | | <419,040.> |
| i | | | <388,434.> |
| j | | | 0. |
| k | | | 0. |
| l | | | 0. |
| m | | | 0. |
| n | | | 0. |
| o | | | <10,131.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a GNMA 55AB 2037-09 6% | | P | 07/16/08 | 09/21/09 |
| b GNMA 65BE 2038-02 6% | | P | 08/13/08 | 09/21/09 |
| c SEE ATTACHED MERRILL LYNCH STATEMENT | | P | VARIOUS | VARIOUS |
| d SEE ATTACHED MERRILL LYNCH STATEMENT | | P | VARIOUS | VARIOUS |
| e SEE ATTACHED MERRILL LYNCH STATEMENT | | P | VARIOUS | VARIOUS |
| f SEE ATTACHED MERRILL LYNCH STATEMENT | | P | VARIOUS | VARIOUS |
| g LITIGATION PROCEEDS-NEWMONT | | P | VARIOUS | VARIOUS |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 122,839. | | 113,397. | 9,442. |
| b 62,817. | | 67,822. | <5,005.> |
| c 426,654. | | 368,509. | 58,145. |
| d 403,164. | | 520,971. | <117,807.> |
| e 12,077. | | 11,161. | 916. |
| f 106,340. | | 201,132. | <94,792.> |
| g 36. | | | 36. |
| h | | | |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 9,442. |
| b | | | <5,005.> |
| c | | | 58,145. |
| d | | | <117,807.> |
| e | | | 916. |
| f | | | <94,792.> |
| g | | | 36. |
| h | | | |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| | | |
|---|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | 2 | 820,129. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A |

| Asset No | Description | Date Acquired | Method | Life | Line No | Unadjusted Cost Or Basis | Bus % Excl | * Reduction In Basis | Basis For Depreciation | Accumulated Depreciation | Current Sec 179 | Current Year Deduction |
|----------|----------------------------------|---------------|--------|------|---------|--------------------------|------------|----------------------|------------------------|--------------------------|-----------------|------------------------|
| 1 | ORGANIZATIONAL COSTS | 061394 | 248 | 60M | 43 | 22,864. | | | 22,864. | 22,864. | | 0. |
| 2 | OFFICE EQUIPMENT | 041599 | 200DB | 5.00 | 17 | 985. | | | 985. | 985. | | 0. |
| 3 | OFFICE EQUIPMENT | 061599 | 200DB | 5.00 | 17 | 984. | | | 984. | 984. | | 0. |
| | * TOTAL 990-PF PG 1 DEPR & AMORT | | | | | 24,833. | | 0. | 24,833. | 24,833. | 0. | 0. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|--|---------|
| BROWN ADVISORY | 22,747. |
| MERRILL LYNCH - 04F14 | 3,244. |
| MERRILL LYNCH - 04F14 | 14,423. |
| MERRILL LYNCH - 2077 | 791. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 41,205. |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|--------------|----------------------------|----------------------|
| AMORTIZATION OF INTEREST | <48,136.> | 0. | <48,136.> |
| AMORTIZATION OF INTEREST | <179.> | 0. | <179.> |
| BROWN ADVISORY - DIV | 15,280. | 0. | 15,280. |
| FROM K-1 BURTON PARTNERSHIP - DIV | 4,259. | 0. | 4,259. |
| FROM K-1 BURTON PARTNERSHIP - INT | 322. | 0. | 322. |
| FROM K-1 CAI PARTNERS & CO IV, LP -INT | 2,389. | 0. | 2,389. |
| FROM K-1 CAPITAL PARTNERS - DIV | 1. | 0. | 1. |
| FROM K-1 CAPITAL PARTNERS - INT | 12. | 0. | 12. |
| FROM K-1 NGN PARTNERS - INT | 710. | 0. | 710. |
| MERRILL LYNCH 04F14 - DIV | 276,551. | 0. | 276,551. |
| MERRILL LYNCH 04F14 - GNMA INTEREST | 204,314. | 0. | 204,314. |
| MERRILL LYNCH 04F14 - INT | 39,710. | 0. | 39,710. |
| MERRILL LYNCH 04F14 - OID | 80,321. | 0. | 80,321. |
| MERRILL LYNCH 04F14 - US INT | 96,250. | 0. | 96,250. |
| MERRILL LYNCH 2077 - DIV | 11,090. | 0. | 11,090. |
| PURCHASE INTEREST -GNMA | <11,681.> | 0. | <11,681.> |
| VANGUARD S&P 500 INDEX | 100,291. | 0. | 100,291. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 771,504. | 0. | 771,504. |

| | | | |
|-------------|---------------|-----------|---|
| FORM 990-PF | RENTAL INCOME | STATEMENT | 3 |
|-------------|---------------|-----------|---|

| KIND AND LOCATION OF PROPERTY | ACTIVITY NUMBER | GROSS RENTAL INCOME |
|---------------------------------------|--------------------|------------------------|
| FROM K-1 CAPITAL PARTNERS | 1 | 250. |
| FROM K-1 BURTON PARTNERSHIP | 2 | 10. |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | 260. |

| | | | |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 4 |
|-------------|--------------|-----------|---|

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| FROM K-1 - CAPITAL PARTNERS | 21,535. | 21,535. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 21,535. | 21,535. | |

| | | | |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 5 |
|-------------|-------------------------|-----------|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| MANAGEMENT FEES | 33,000. | 8,250. | | 24,750. |
| INVESTMENT FEES | 8,667. | 8,667. | | 0. |
| INVESTMENT FEES-ML 02077 | 109. | 109. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 41,776. | 17,026. | | 24,750. |

| | | | |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 6 |
|-------------|-------|-----------|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| EXCISE TAX ON INVESTMENT INCOME | 45,000. | 0. | | 0. |
| FOREIGN TAXES - BURTON PARTNERSHIP | 14. | 14. | | 0. |
| FOREIGN TAXES - MERRILL LYNCH | 178. | 178. | | 0. |
| | 45,192. | 192. | | 0. |

TO FORM 990-PF, PG 1, LN 18

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 7 |
|---|------------------------------|-----------------------------------|-------------------------------|-------------------------------|------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| FROM BURTON PARTNERSHIP PORTFOLIO DEDUCTION | 1,021. | 1,021. | | | 0. |
| FROM CAPITAL PARTNERS PORTFOLIO DEDUCTION | 2,991. | 2,991. | | | 0. |
| FROM 1 1 CAPITAL PARTNERS OTHER DEDUCTIONS | 8,358. | 8,358. | | | 0. |
| FROM 1 1 NGN BIOMED PORTFOLIO DEDUCTION | 9,524. | 9,524. | | | 0. |
| MISCELLANEOUS FEES | 384. | 96. | | | 288. |
| FROM -- CAI PTRS & CO IV - OTHER PORTFOLIO DEDUCTION | 25,876. | 25,876. | | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 48,154. | 47,866. | | | 288. |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | | | STATEMENT | 8 |
|---|--|----------------|-------------|----------------------|---|
| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE | |
| SEE ATTACHED STATEMENT-US GOVERNMENT OBLIGATIONS | X | | 11,940,531. | 11,793,945. | |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 11,940,531. | 11,793,945. | |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 11,940,531. | 11,793,945. | |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|-------------|-------------------|
| SEE ATTACHED STATEMENT-CORPORATE STOCKS | 27,215,776. | 24,332,035. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 27,215,776. | 24,332,035. |

| | | | |
|-------------|-------------------|-----------|----|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 10 |
|-------------|-------------------|-----------|----|

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|------------------|------------|-------------------|
| INTEREST RECEIVABLE | COST | 5,977. | 5,977. |
| PURCHASED INTEREST | COST | 2,786. | 2,786. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 8,763. | 8,763. |

| | | | |
|-------------|--|-----------|----|
| FORM 990-PF | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT | 11 |
|-------------|--|-----------|----|

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|------------------------------------|---------------------|--------------------------|------------|
| ORGANIZATIONAL COSTS | 22,864. | 22,864. | 0. |
| OFFICE EQUIPMENT | 985. | 985. | 0. |
| OFFICE EQUIPMENT | 984. | 984. | 0. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 24,833. | 24,833. | 0. |

| | | | |
|-------------|--------------|-----------|----|
| FORM 990-PF | OTHER ASSETS | STATEMENT | 12 |
|-------------|--------------|-----------|----|

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|----------------------------|------------------------|-------------------|
| INVESTMENT IN NGN BIOMED OPPORTUNITY I, L.P. | 346,172. | 343,647. | 300,939. |
| INVESTMENT IN CAPITAL PARTNERS PRIVATE EQUITY INCOME FUND, L.P. | 167,371. | 222,858. | 237,460. |
| INVESTMENT IN BURTON PARTNERSHIP, L.P. | 1,049,637. | 1,048,107. | 1,083,304. |
| INVESTMENT IN CAI PARTNERS AND COMPANY IV, LP | 29,638. | 153,895. | 168,479. |
| TO FORM 990-PF, PART II, LINE 15 | 1,592,818. | 1,768,507. | 1,790,182. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 13
 TRUSTEES AND FOUNDATION MANAGERS

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT |
|---|---------------------------------|-------------------|---|
| JACK R. ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001 | DIR, PRES. 3.00 | 0. | 0. 0. |
| ROSE-MARIE ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001 | DIR 1.00 | 0. | 0. 0. |
| NEIL R. ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001 | DIR, TR. ASST SECR 35.00 | 63,000. | 0. 0. |
| BARBARA ANDERSON MCDONALD 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001 | DIR, ASST SECR. ASST TR 1.00 | 0. | 0. 0. |
| GAIL ANDERSON CANIZARES 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001 | DIR, ASST TR. SECR 1.00 | 0. | 0. 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 63,000. | 0. 0. |

FORM 990-PF PART XV - LINE 1A STATEMENT 14
 LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

JACK R. ANDERSON
ROSE-MARIE ANDERSON

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 15

| RECIPIENT NAME AND ADDRESS | RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT | RECIPIENT STATUS | AMOUNT |
|--|--|---------------------|----------|
| THE BOGGY CREEK GANG INC. 30500 BRANTLEY BRANCH RD EUSTIS, FL 32736 | NONE EDUCATION & HEALTH | PUBLIC | 500. |
| CATO INSTITUTE 1000 MASSACHUSETTS AVE., NW WASHINGTON, DC 20001 | NONE EDUCATION | PUBLIC | 60,000. |
| CHILDREN'S SCHOLARSHIP FUND 7 WEST 57TH STREET NEW YORK, NY 10019 | NONE EDUCATION | PUBLIC | 400,000. |
| COLUMBIA BUSINESS SCHOOL 820 URIS HALL, 3022 BROADWAY NEW YORK, NY 10027 | NONE EDUCATION | PUBLIC | 35,000. |
| COMMONWEAL FOUNDATION 10770 COLUMBIA PIKE, STE 150 SILVER SPRING, MD 20901 | NONE EDUCATION | PUBLIC | 40,000. |
| ENDOWMENT FOR INNER CITY EDUCATION 1011 FIRST AVENUE, STE 1400 NEW YORK, NY 10022 | NONE EDUCATION | PUBLIC | 25,000. |
| CPR EDUCATION INC. 700 12TH STREET, NW, SUITE 700 WASHINGTON, DC 20005 | NONE HEALTH | PUBLIC | 100,000. |
| FROM K-1 CAPITAL PARTNERS EIGHT GREENWICH OFFICE PARK GREENWICH, CT 06831-5149 | NONE EDUCATION | PUBLIC | 113. |

| | | | |
|--|----------------------------|--------|----------|
| EAST MEETS WEST FOUNDATION P.O. BOX 29292 OAKLAND, CA 94604 | NONE HEALTH | PUBLIC | 57,322. |
| HEARD MUSEUM 2301 N CENTRAL AVE PHOENIX, AZ 85004 | NONE EDUCATION | PUBLIC | 10,000. |
| FIRST COMMUNITY FOUNDATION 1320 CAMBRIDGE BLVD. COLUMBUS, OH 43212 | NONE EDUCATION | PUBLIC | 5,000. |
| HOOVER INSTITUTION STANFORD UNIVERSITY STANFORD, CA 94305 | NONE EDUCATION | PUBLIC | 200,000. |
| INDIAN RIVER HOSPITAL FDN 1000 36TH STREET VERO BEACH, FL 32960 | NONE HEALTH & EDUCATION | PUBLIC | 10,000. |
| KAPPA DELTA FOUNDATION, INC 3205 PLAYERS LANE MEMPHIS, TN 38125 | NONE EDUCATION | PUBLIC | 35,000. |
| FROM K-1 THE BURTON PARTNERSHIP P.O. BOX 4643 JACKSON, WY 83001 | NONE EDUCATION | PUBLIC | 1. |
| MILTON AND ROSE D FRIEDMAN FDN ONE AMERICAN SQUARE, BOX 82078 INDIANAPOLIS, IN 46282 | NONE EDUCATION | PUBLIC | 400,000. |
| NATIONAL ACADEMIES 2101 CONSTITUTION AVE., NW WASHINGTON, DC 20418 | NONE EDUCATION | PUBLIC | 72,000. |
| NATIONAL CENTER FOR POLICY ANALYSIS 12655 N. CENTRAL EXPY, STE 720 DALLAS, TX 75243 | NONE EDUCATION | PUBLIC | 65,000. |

ROSE-MARIE AND JACK R. ANDERSON FOUNDATI

75-2542403

| | | | |
|--|----------------------------|--------|------------|
| PATHWAYS, INC 175 MILBANK AVENUE GREENWICH, CT 06830 | NONE HEALTHCARE | PUBLIC | 24,750. |
| STUDENT SPONSOR PARTNERS 21 EAST 40TH STREET, STE 1601 NEW YORK, NY 10016 | NONE EDUCATION | PUBLIC | 25,000. |
| STUDENTNEWSDAILY.COM P.O. BOX 30353 EDMOND, OK 73003 | NONE EDUCATION | PUBLIC | 20,000. |
| UNITED METHODIST CHILDREN'S HOME 500 S. COLUMBIA DRIVE DECATUR, GA 30030 | NONE EDUCATION | PUBLIC | 10,000. |
| VANGUARD CHARITABLE ENDOWMENT FUND P.O.BOX 3075 SOUTHEASTERN, PA 19398-9917 | NONE HEALTH & EDUCATION | PUBLIC | 670,000. |
| TOTAL TO FORM 990-PF, PART XV, LINE 3A | | | 2,264,686. |

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

| | | | |
|--|--|--|---|
| Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed) | | | |
| Type or print File by the extended due date for filing the return. See instructions | Name of Exempt Organization ROSE-MARIE AND JACK R. ANDERSON FOUNDATION | | Employer identification number 75-2542403 |
| | Number, street, and room or suite no. If a P O box, see instructions. 16475 DALLAS PARKWAY, NO. 735 | | For IRS use only |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. ADDISON, TX 75001 | | |

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

NEIL R. ANDERSON

- The books are in the care of **16475 DALLAS PARKWAY, SUITE 735 - ADDISON, TX 75001**

Telephone No. **972-248-7350**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**

5 For calendar year **2009**, or other tax year beginning , and ending

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension **SEE STATEMENT 16**

| | | |
|--|-----------|-------------------|
| 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | \$ 33,560. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ 33,560. |
| c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | \$ 0. |

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **C.P.A.**

Date

Form **8868** (Rev. 4-2009)

FORM 8688

EXPLANATION FOR EXTENSION

STATEMENT 16

EXPLANATION

TO DATE, THE DATA NECESSARY TO COMPLETE THE RETURN HAS NOT BEEN ASSEMBLED; CONSEQUENTLY, AN EXTENSION OF TIME TO FILE IS NEEDED. THIS ADDITIONAL TIME WILL ENABLE US TO FILE A COMPLETE AND ACCURATE RETURN.

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636-02077Taxpayer No.
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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---------------------------------|-----------|---------------------------------|-------------------------------|--|--------------|-------------|------------|----------------|
| SHORT TERM CAPITAL GAINS | | | | | | | | |
| BURLINGTON N SNTA FE30.01 | 125.0000 | 01/27/09 | 11/04/09 | | | 12,075.72 | 8,505.35 | 3,571.37 |
| CITIGROUP INC | 3000.0000 | 08/07/09 | 09/01/09 | | | 14,777.82 | 12,559.47 | 2,218.35 |
| | 2000.0000 | 08/07/09 | 09/01/09 | | | 9,851.89 | 8,409.40 | 1,442.49 |
| | | Security Subtotal | | | | 24,629.71 | 20,968.87 | 3,660.84 |
| CONTANGO OIL-GAS COM NEW | 100.0000 | 03/17/09 | 07/29/09 | | | 4,533.38 | 4,189.92 | 363.46 |
| | 200.0000 | 03/17/09 | 07/29/09 | | | 8,891.46 | 8,337.35 | 554.11 |
| | 500.0000 | 03/25/09 | 07/29/09 | | | 22,228.67 | 21,040.70 | 1,187.97 |
| | 100.0000 | 04/01/09 | 07/29/09 | | | 4,445.74 | 4,055.27 | 390.47 |
| | 300.0000 | 04/01/09 | 10/26/09 | | | 14,929.07 | 12,141.19 | 2,787.88 |
| | | Security Subtotal | | | | 55,028.32 | 49,744.43 | 5,283.89 |
| CHESAPEAKE ENERGY OKLA | 200.0000 | 12/09/08 | 11/24/09 | | | 4,587.77 | 3,174.00 | 1,413.77 |
| | 200.0000 | 12/09/08 | 11/24/09 | | | 4,595.12 | 3,174.00 | 1,421.12 |
| | 600.0000 | 12/09/08 | 11/24/09 | | | 13,779.67 | 9,522.00 | 4,257.67 |
| | | Security Subtotal | | | | 22,982.56 | 15,870.00 | 7,092.56 |
| ISHARES FTSE XINHUA HK | 400.0000 | 04/06/09 | 08/05/09 | | | 16,475.18 | 12,425.38 | 4,049.80 |
| | 200.0000 | 04/06/09 | 08/19/09 | | | 7,808.97 | 6,212.69 | 1,596.28 |
| | | Security Subtotal | | | | 24,284.15 | 18,638.07 | 5,646.08 |



Merrill Lynch

Account No.
836-02077

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|--------------------------|-----------|---------------------------------|-------------------------------|--|-------------|------------|----------------|
| POWERSHARES QQQ TR UNITS | 1000.0000 | 04/09/09 | 05/16/09 | | 33,311.70 | 33,031.79 | 279.91 |
| | 500.0000 | 04/09/09 | 05/15/09 | | 16,655.85 | 16,622.13 | 33.72 |
| | 150.0000 | 05/04/09 | 09/01/09 | | 5,816.02 | 5,280.16 | 525.86 |
| | | Security Subtotal | | | 55,783.67 | 54,944.08 | 839.49 |
| GENENTECH INC NEW | 150.0000 | 01/05/09 | 03/12/09 | | 14,022.68 | 12,421.50 | 1,601.18 |
| | 50.0000 | 01/27/09 | 03/12/09 | | 4,674.23 | 4,214.98 | 459.25 |
| | 75.0000 | 01/27/09 | 03/12/09 | | 7,006.00 | 6,322.47 | 683.53 |
| | | Security Subtotal | | | 25,702.91 | 22,958.95 | 2,743.96 |
| GENERAL ELECTRIC | 1000.0000 | 07/30/09 | 10/19/09 | | 15,774.20 | 13,492.85 | 2,281.35 |
| GENZYME CORPORATION | 400.0000 | 08/25/09 | 09/18/09 | | 22,772.81 | 22,308.29 | 464.52 |
| HARTFORD FINL SVCS GROUP | 250.0000 | 02/02/09 | 09/01/09 | | 5,526.14 | 3,905.10 | 1,621.04 |
| | 50.0000 | 02/02/09 | 09/01/09 | | 1,105.24 | 780.46 | 324.79 |
| | 350.0000 | 02/02/09 | 09/01/09 | | 7,743.51 | 5,463.15 | 2,280.36 |
| | 150.0000 | 03/23/08 | 09/01/09 | | 3,318.65 | 1,310.52 | 2,008.13 |
| | 100.0000 | 03/23/09 | 09/01/09 | | 2,155.24 | 873.68 | 1,281.56 |
| | 100.0000 | 03/23/09 | 10/28/09 | | 2,318.98 | 873.69 | 1,445.29 |
| | 500.0000 | 04/08/09 | 10/28/09 | | 11,594.92 | 5,109.10 | 6,485.82 |
| | | Security Subtotal | | | 33,762.68 | 18,315.69 | 15,446.99 |
| MIDCAP SPDR TR SER 1 | 100.0000 | 05/04/09 | 09/01/09 | | 11,631.01 | 10,521.29 | 1,109.72 |
| | 200.0000 | 07/30/09 | 09/01/09 | | 23,262.04 | 23,123.72 | 138.32 |
| | 100.0000 | 07/30/09 | 10/28/09 | | 12,106.03 | 11,561.86 | 544.17 |
| | | Security Subtotal | | | 46,999.08 | 45,206.87 | 1,792.21 |
| MOSAIC CO | 200.0000 | 07/15/09 | 10/01/09 | | 9,324.37 | 9,051.74 | 272.63 |
| | 50.0000 | 07/15/09 | 10/01/09 | | 2,331.10 | 2,262.09 | 69.01 |
| | 200.0000 | 07/15/09 | 10/01/09 | | 9,329.02 | 9,048.40 | 280.62 |
| | | Security Subtotal | | | 20,984.49 | 20,362.23 | 622.26 |

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|----------------------------------|----------|-----------------------------------|-------------------------------|---|-------------|------------|----------------|
| NATIONAL OILWELL VARCO | 300.0000 | 04/23/09 | 06/22/09 | | 9,556.33 | 8,823.06 | 733.27 |
| | 200.0000 | 04/23/09 | 06/22/09 | | 6,370.90 | 5,878.46 | 492.44 |
| | 100.0000 | 07/21/09 | 10/28/09 | | 4,139.01 | 3,643.17 | 495.84 |
| | 100.0000 | 07/21/09 | 10/28/09 | | 4,138.23 | 3,638.82 | 499.41 |
| | 200.0000 | 07/21/09 | 10/28/09 | | 8,276.47 | 7,277.55 | 998.92 |
| | 200.0000 | 07/21/09 | 10/28/09 | | 8,282.03 | 7,277.55 | 1,004.48 |
| | | Security Subtotal | | | 40,762.97 | 36,538.61 | 4,224.36 |
| POTASH CORP SASKATCHEWAN | 50.0000 | 10/31/08 | 06/19/09 | | 4,641.51 | 4,449.95 | 191.56 |
| | 200.0000 | 12/18/08 | 06/19/09 | | 18,589.49 | 16,020.00 | 2,569.49 |
| | | Security Subtotal | | | 23,231.00 | 19,469.95 | 3,761.05 |
| WELLS FARGO & CO NEW DEL | 75.0000 | 03/23/09 | 12/10/09 | | 1,899.11 | 1,185.03 | 714.08 |
| | | Short Term Capital Gains Subtotal | | | 428,654.28 | 368,509.27 | 58,145.01 |
| SHORT TERM CAPITAL LOSSES | | | | | | | |
| BAC CAPITAL TRUST I | 100.0000 | 07/22/08 | 01/21/09 | | 1,316.70 | 2,221.00 | (904.30) |
| | 100.0000 | 07/22/08 | 01/21/09 | | 1,321.69 | 2,221.00 | (899.31) |
| | 400.0000 | 07/22/08 | 01/21/09 | | 5,286.77 | 8,888.00 | (3,601.23) |
| | 100.0000 | 07/22/08 | 01/21/09 | | 1,326.69 | 2,222.00 | (895.31) |
| | | Security Subtotal | | | 8,251.85 | 15,552.00 | (6,300.15) |
| CHESAPEAKE ENERGY OKLA | 700.0000 | 09/19/08 | 04/01/09 | | 12,044.70 | 29,048.67 | (17,003.97) |
| | 100.0000 | 10/16/08 | 04/01/09 | | 1,732.19 | 1,826.00 | (93.81) |
| | 300.0000 | 10/16/08 | 04/01/09 | | 5,196.59 | 5,476.50 | (279.91) |
| | 100.0000 | 10/16/08 | 04/01/09 | | 1,732.27 | 1,825.50 | (93.23) |
| | 275.0000 | 10/16/08 | 07/07/09 | | 4,770.17 | 5,020.13 | (249.96) |
| | 225.0000 | 10/16/08 | 07/07/09 | | 3,902.87 | 4,108.39 | (205.52) |
| | 500.0000 | 10/17/08 | 07/07/09 | | 8,673.06 | 10,734.10 | (2,061.04) |
| | | Security Subtotal | | | 38,051.85 | 58,039.29 | (19,987.44) |
| DIAMONDS TRUST SERIES I | 500.0000 | 03/25/09 | 04/20/09 | | 39,281.02 | 39,375.26 | (94.24) |

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|--------------------------|----------|---------------------------------|-------------------------------|--|--------------|-------------|------------|----------------|
| DUKE ENERGY CORP NEW | 200.0000 | 04/04/08 | 03/02/09 | | | 2,556.95 | 3,687.90 | (1,130.95) |
| FIDELITY NATIONAL FINANC | 50.0000 | 01/09/09 | 09/25/09 | | | 729.87 | 847.85 | (117.98) |
| | 800.0000 | 01/09/09 | 09/25/09 | | | 11,677.99 | 13,564.88 | (1,886.89) |
| Security Subtotal | | | | | | 12,407.86 | 14,412.73 | (2,004.87) |
| PROSHARES ULTRADOW30 | 750.0000 | 12/08/08 | 01/07/09 | | | 24,464.86 | 25,521.67 | (1,056.81) |
| | 50.0000 | 12/08/08 | 01/07/09 | | | 1,593.49 | 1,701.44 | (107.95) |
| | 900.0000 | 12/08/08 | 01/07/09 | | | 28,686.43 | 30,626.01 | (1,939.58) |
| | 200.0000 | 12/08/08 | 01/15/09 | | | 5,377.96 | 6,805.78 | (1,427.82) |
| | 100.0000 | 12/08/08 | 01/15/09 | | | 2,689.24 | 3,402.90 | (713.66) |
| Security Subtotal | | | | | | 62,811.98 | 68,057.80 | (5,245.82) |
| PROSHARES ULTRASHORT S&P | 350.0000 | 03/11/09 | 03/23/09 | | | 27,935.94 | 35,311.24 | (7,375.30) |
| PROSHARES ULTRASHORT OIL | 100.0000 | 11/12/08 | 01/05/09 | | | 2,146.98 | 4,382.00 | (2,235.02) |
| | 300.0000 | 11/12/08 | 01/05/09 | | | 6,440.89 | 13,146.00 | (6,705.01) |
| | 800.0000 | 11/12/08 | 01/05/09 | | | 17,175.98 | 35,054.40 | (17,878.42) |
| Security Subtotal | | | | | | 25,763.95 | 52,582.40 | (26,818.45) |
| POWERSHARES QQQ TR UNITS | 100.0000 | 05/04/09 | 05/15/09 | | | 3,325.82 | 3,526.77 | (200.95) |
| | 600.0000 | 05/04/09 | 05/15/09 | | | 19,987.03 | 21,160.65 | (1,173.62) |
| Security Subtotal | | | | | | 23,312.85 | 24,687.42 | (1,374.57) |
| HEWLETT PACKARD CO DEL | 350.0000 | 09/26/08 | 03/02/09 | | | 9,756.35 | 16,881.62 | (7,125.27) |
| | 150.0000 | 09/26/08 | 03/02/09 | | | 4,183.87 | 7,234.98 | (3,051.11) |
| | 50.0000 | 10/30/08 | 03/02/09 | | | 1,394.63 | 1,948.36 | (553.73) |
| Security Subtotal | | | | | | 15,334.85 | 26,064.96 | (10,730.11) |
| LEUCADIA NATL CORP | 400.0000 | 02/06/09 | 03/02/09 | | | 4,556.08 | 6,580.00 | (2,023.92) |
| | 100.0000 | 02/06/09 | 03/02/09 | | | 1,139.03 | 1,645.67 | (506.64) |
| Security Subtotal | | | | | | 5,695.11 | 8,226.67 | (2,530.56) |

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|------------------------------------|----------|---------------------------------|-------------------------------|---|-------------|------------|----------------|
| MIDCAP SPDR TR SER 1 | 300.0000 | 05/04/09 | 05/15/09 | | 29,826.50 | 31,563.86 | (1,737.36) |
| | 100.0000 | 09/10/09 | 10/28/09 | | 12,111.40 | 12,419.27 | (307.87) |
| | 200.0000 | 09/10/09 | 10/30/09 | | 23,778.89 | 24,838.57 | (1,059.68) |
| | 100.0000 | 10/13/09 | 10/30/09 | | 11,889.45 | 12,810.47 | (921.02) |
| | 100.0000 | 10/13/09 | 10/30/09 | | 11,884.87 | 12,810.48 | (925.61) |
| Security Subtotal | | | | | 89,491.11 | 94,442.65 | (4,951.54) |
| MONSANTO CO NEW DEL COM | 25.0000 | 10/29/08 | 07/02/09 | | 1,797.02 | 2,173.00 | (375.98) |
| | 100.0000 | 10/29/08 | 07/02/09 | | 7,188.09 | 8,690.80 | (1,502.71) |
| | 75.0000 | 04/09/09 | 07/02/09 | | 5,391.08 | 6,271.72 | (880.64) |
| Security Subtotal | | | | | 14,376.19 | 17,135.52 | (2,759.33) |
| POTASH CORP SASKATCHEWAN | 50.0000 | 10/30/08 | 03/02/09 | | 3,716.14 | 4,224.50 | (508.36) |
| | 100.0000 | 10/30/08 | 03/02/09 | | 7,432.28 | 8,448.50 | (1,016.22) |
| | 50.0000 | 10/31/08 | 03/02/09 | | 3,716.14 | 4,449.95 | (733.81) |
| Security Subtotal | | | | | 14,864.56 | 17,122.95 | (2,258.39) |
| SCHLUMBERGER LTD | 150.0000 | 01/18/08 | 01/16/09 | | 5,934.04 | 11,774.50 | (5,840.46) |
| | 100.0000 | 02/19/08 | 01/16/09 | | 3,956.03 | 8,816.00 | (4,859.97) |
| | 150.0000 | 10/29/08 | 01/16/09 | | 5,934.05 | 7,840.50 | (1,906.45) |
| Security Subtotal | | | | | 15,824.12 | 28,431.00 | (12,606.88) |
| WELLS FARGO & CO NEW DEL | 500.0000 | 07/16/08 | 02/20/09 | | 4,963.15 | 12,831.00 | (7,867.85) |
| | 125.0000 | 09/19/08 | 02/20/09 | | 1,240.79 | 5,011.25 | (3,770.46) |
| Security Subtotal | | | | | 6,203.94 | 17,842.25 | (11,638.31) |
| Short Term Capital Losses Subtotal | | | | | 403,164.13 | 520,971.04 | (117,806.91) |
| NET SHORT TERM CAPITAL GAIN (LOSS) | | | | | | | (59,661.80) |

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|----------------------------------|-----------|---------------------------------|-------------------------------|--|--------------|-------------|------------|----------------|
| LONG TERM CAPITAL GAINS | | | | | | | | |
| BURLNGTN N SNTA FE\$0.01 | 125.0000 | 02/19/08 | 11/04/09 | | | 12,076.72 | 11,160.63 | 916.09 |
| Long Term Capital Gains Subtotal | | | | | | 12,076.72 | 11,160.63 | 916.09 |
| LONG TERM CAPITAL LOSSES | | | | | | | | |
| BURLNGTN N SNTA FE\$0.01 | 275.0000 | 02/19/08 | 03/02/09 | | | 15,075.92 | 24,553.37 | (9,477.45) |
| BAC CAPITAL TRUST I | 1800.0000 | 11/08/06 | 01/21/09 | | | 23,700.45 | 45,745.54 | (22,045.09) |
| CHESAPEAKE ENERGY OKLA | 300.0000 | 06/12/07 | 04/01/09 | | | 5,162.01 | 10,774.32 | (5,612.31) |
| DUKE ENERGY CORP NEW | 500.0000 | 12/12/06 | 03/02/09 | | | 6,392.34 | 9,644.81 | (3,252.47) |
| | 800.0000 | 04/04/08 | 10/01/09 | | | 12,343.02 | 14,751.60 | (2,408.58) |
| Security Subtotal | | | | | | 18,735.36 | 24,396.41 | (5,661.05) |
| FUEL TECH INC | 150.0000 | 05/22/06 | 12/22/09 | | | 1,159.48 | 2,192.33 | (1,032.85) |
| | 350.0000 | 07/13/06 | 12/22/09 | | | 2,705.45 | 3,761.50 | (1,056.05) |
| | 250.0000 | 04/04/08 | 12/22/09 | | | 1,932.47 | 5,304.98 | (3,372.51) |
| | 200.0000 | 09/26/08 | 12/22/09 | | | 1,545.97 | 3,900.00 | (2,354.03) |
| | 50.0000 | 09/26/08 | 12/22/09 | | | 386.49 | 975.00 | (588.51) |
| | 700.0000 | 10/31/08 | 12/22/09 | | | 5,410.94 | 8,263.43 | (2,852.49) |
| Security Subtotal | | | | | | 13,140.80 | 24,397.24 | (11,256.44) |
| GENERAL ELECTRIC | 550.0000 | 06/19/07 | 02/20/09 | | | 4,977.62 | 21,332.59 | (16,354.97) |
| | 200.0000 | 01/30/08 | 02/20/09 | | | 1,810.05 | 7,236.00 | (5,425.95) |
| Security Subtotal | | | | | | 6,787.67 | 28,568.59 | (21,780.92) |
| SCHLUMBERGER LTD | 100.0000 | 12/18/07 | 01/16/09 | | | 3,956.02 | 9,064.00 | (5,107.98) |
| | 100.0000 | 12/18/07 | 01/16/09 | | | 3,956.02 | 9,065.00 | (5,108.98) |
| Security Subtotal | | | | | | 7,912.04 | 18,129.00 | (10,216.96) |

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ROSE-MARIE AND JACK R ANDERSON

2009 ANNUAL STATEMENT SUMMARY**2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|-----------------------------------|----------|---------------------------------|-------------------------------|---|-------------|--------------|----------------|
| WELLS FARGO & CO NEW DEL | 375.0000 | 09/19/08 | 12/10/09 | | 9,495.53 | 15,033.75 | (5,538.22) |
| | 50.0000 | 09/26/08 | 12/10/09 | | 1,266.07 | 1,907.00 | (640.93) |
| | 200.0000 | 09/26/08 | 12/10/09 | | 5,084.28 | 7,627.46 | (2,563.18) |
| Security Subtotal | | | | | 15,825.88 | 24,568.21 | (8,742.33) |
| Long Term Capital Losses Subtotal | | | | | 106,340.13 | 201,132.68 | (94,792.55) |
| NET LONG TERM CAPITAL GAIN (LOSS) | | | | | | | (93,876.46) |
| TOTAL CAPITAL GAINS AND LOSSES | | | | | 948,235.26 | 1,101,773.62 | (153,538.36) |
| TOTAL REPORTABLE GROSS PROCEEDS | | | | | 948,235.26 | | |
| DIFFERENCE | | | | | 0.00* | | |

153,538.40 ✓

Note: Capital gains and losses in this statement are not reported to the IRS.

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

REALIZED CAPITAL GAIN AND LOSS SUMMARY

| | SHORT TERM GAINS | SHORT TERM LOSSES | LONG TERM GAINS | LONG TERM LOSSES |
|-------|------------------|-------------------|-----------------|------------------|
| TOTAL | 58,145.01 | (117,806.91) | 916.09 | (94,792.55) |

ROSE-MARIE AND JACK R. ANDERSON FOUNDATION
FORM 990-PF, PART II, LN 10 ATTACHMENT - INVESTMENT
12/31/09

| | <u>SHARES</u> | <u>BEGINNING BOOK VALUE</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|---|---------------|---------------------------------|------------------------------|-----------------------|
| <u>U.S. GOVERNMENT OBLIGATIONS</u> | | | | |
| 2009-08A TSY 4.875% | | 1,019,404 | - | - |
| 2009-08B TSY STRIP, \$97.42 ORIG; 1.78% YTM | | 989,038 | - | - |
| 2009-08C TSY STRIP, \$97.48 ORIG; 1.73% YTM | | 989,295 | - | - |
| 2037-09 55AB GNMA 5.5% | | 4,305,758 | - | - |
| 2038-01 76ED GNMA 6.0% | | 857,941 | - | - |
| 2038-02 65BE GNMA 6.0% | | 989,493 | - | - |
| 2010-02A TSY 4.75% | | 1,031,964 | 1,003,506 | 1,005,230 |
| 2010-02A TSY STRIP, \$96.43 ORIG; 1.84% YTM | | 979,570 | 997,760 | 999,910 |
| 2010-02B TSY STRIP, \$96.49 ORIG; 1.82% YTM | | 979,886 | 997,795 | 999,910 |
| 2011-11-30 TSY 0.75% H2731 | 10,000 | - | 1,003,418 | 993,480 |
| 2012-05-15 TSY STRIP -0%- | 1,000 | - | 969,517 | 971,100 |
| 2012-11-15 TSY 1.375% H2719 | 30,000 | - | 3,014,068 | 2,978,430 |
| 2013-05-15 TSY STRIP -0%- | 1,000 | - | 944,760 | 935,280 |
| 2038-07 GNMA 44AH 5% | 20,000 | - | 2,036,744 | 1,952,797 |
| 2039-04 GNMA 44AD 4.5% | 10,000 | - | 972,962 | 957,808 |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | 12,142,349 | 11,940,531 | 11,793,945 |

CORPORATE STOCK

| | | | | |
|---------------------------------|----------|-----------|-----------|-----------|
| UNH \$40 CALLS 01/17/09 | (50,000) | (826,150) | - | - |
| UNH \$45 CALLS 01/17/09 | (50,000) | (655,079) | - | - |
| UNH \$50 CALLS 01/17/09 | (30,000) | (334,189) | - | - |
| DJ1A MAT 2009-02, 3X, 20% RR | 100,000 | 1,000,000 | - | - |
| S&P100 MAT 2009-01, 3X, 18.7% R | 100,000 | 1,000,000 | - | - |
| BAC CAPITAL TRUST | 2,500 | 61,298 | - | - |
| BURLNGTN N SNTA FE | 400 | 35,714 | - | - |
| CHESAPEAKE ENERGY CORP | 3,500 | 84,684 | - | - |
| DUKE ENERGY | 1,500 | 28,084 | - | - |
| FUEL TECH NV | 1,700 | 24,397 | - | - |
| GENERAL ELECTRIC | 750 | 28,569 | - | - |
| POTASH CORP SASKATCHEWA | 450 | 36,593 | - | - |
| POWERSHARES ULTRASHORT O | 1,200 | 52,582 | - | - |
| PROSHARES ULTRADOW | 2,000 | 68,058 | - | - |
| SCHLUMBERGER LTD | 600 | 46,560 | - | - |
| PL(PROTECTIVE LIFE) | 43,395 | 1,495,500 | 1,495,500 | 718,187 |
| SPY (SPDRS) 5/04 | 9,100 | 1,002,552 | 1,002,552 | 1,014,104 |
| SPY (SPDRS) 8/04 | 18,650 | 2,000,406 | 2,000,406 | 2,078,356 |
| SPY (SPDRS) 2005 | 36,850 | 4,442,064 | 4,442,064 | 4,106,564 |
| SPY (SPDRS) 2006 | 15,500 | 2,004,486 | 2,004,486 | 1,727,320 |
| SPY (SPDRS) 2007 | 27,100 | 3,999,939 | 3,999,939 | 3,020,024 |
| SPY (SPDRS) 2008 | 8,200 | 997,129 | 997,129 | 913,808 |
| SPY (SPDRS) 2009 | 1,317 | - | 142,375 | 146,780 |
| UNH (UNITED HEALTH) | 72,962 | 2,930,310 | 2,930,310 | 2,223,882 |
| UNH JRA 2006 | 4,990 | 244,111 | 244,111 | 152,095 |

ROSE-MARIE AND JACK R. ANDERSON FOUNDATION
FORM 990-PF, PART II, LN 10 ATTACHMENT - INVESTMENT
12/31/09

| | <u>SHARES</u> | <u>BEGINNING BOOK VALUE</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|------------------------|---------------|---------------------------------|------------------------------|-----------------------|
| UNH 11/2000 | 9,038 | 43,393 | 43,393 | 275,478 |
| UNH JRA | 22,000 | 1,084,900 | 1,084,900 | 670,560 |
| UNH RMA 1998 | 12,210 | 998,390 | 998,390 | 372,161 |
| UNH RMASPA 08/1999 | 8,800 | 497,600 | 497,600 | 268,224 |
| UNH 9/28/07 | 16,000 | 776,160 | 776,160 | 487,680 |
| VANGUARD S&P 500 INDEX | 46,187 | 3,011,666 | 3,111,957 | 4,741,984 |
| BANK OF AMERICA CORP | 1,000 | - | 13,241 | 15,060 |
| BERKSHIRE HATHAWAY CLB | 22 | - | 67,165 | 72,292 |
| BYD COMPANY LTD | 5,000 | - | 24,651 | 44,138 |
| CEPHALON INC | 400 | - | 22,969 | 24,968 |
| CHEVRON CORP 144X5 | 500 | 39,063 | 39,063 | 38,495 |
| HEINZ H J CO PV | 750 | - | 32,214 | 32,070 |
| HEWLETT PACKARD | 800 | 47,736 | 34,923 | 41,208 |
| HOME DEPO | 1,000 | - | 25,807 | 28,930 |
| JPMORGAN CHASE & CO | 600 | - | 21,201 | 25,002 |
| KVH INDUSTRIES INC DEL | 2,800 | - | 31,976 | 41,272 |
| LEUCADA NAT'L CORP | 2,000 | - | 33,772 | 47,580 |
| MONSANTO CO NEW DEL CO | 350 | 10,864 | 28,376 | 28,613 |
| PDL BIOPHARMA INC | 2,000 | - | 16,198 | 13,720 |
| PETROLEUM DEVELOPMNT | 1,000 | - | 21,335 | 18,210 |
| SOCIEDAD Q&M CHLE S | 500 | - | 16,164 | 18,785 |
| WELLS FARGO | 1,800 | 42,410 | 30,834 | 48,582 |
| MIDCAP SPDR TR SER 1 | 100 | - | 12,810 | 13,174 |
| POWERSHARES WATER | 1,800 | 31,282 | 31,282 | 30,348 |
| POWERSHARES QQQ TRUST | 3,650 | - | 160,703 | 166,988 |
| SPDR GOLD TRUST | 300 | 22,105 | 22,021 | 32,193 |
| CHOICE HOTELS | 20,000 | 757,800 | 757,800 | 633,200 |
| TOTAL CORPORATE STOCK | | 27,130,987 | 27,215,776 | 24,332,035 |